

1624

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Total Number of Pages In This Submission

Application Number	10/538,126
Filing Date	June 6, 2005
First Named Inventor	Shunsuke Kuroiwa
Art Unit	1624
Examiner Name	Not yet assigned
Attorney Docket Number	442P097

ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment/Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Reply to Missing Parts/ Incomplete Application <input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ <input type="checkbox"/> Landscape Table on CD Remarks	<input type="checkbox"/> After Allowance Communication to TC <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): -Form PTO-1449 -Copy of non US references cited - 2 -Copy of European communication dated 7/19/07
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Niels & Lemack		
Signature			
Printed name	Kevin S. Lemack		
Date	August 17, 2007	Reg. No.	32,579

CERTIFICATE OF TRANSMISSION/MAILING

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Signature			
Typed or printed name	Kevin S. Lemack	Date	August 17, 2007

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Shunsuke Kuroiwa et al.

Serial No. : 10/538,126

Filed : June 6, 2005

For : 3-PHENYL-CINNOLINE ANALOGUE AND ANTITUMOR AGENT USING
THE SAME

Examiner : Not yet assigned

Art Unit : 1624

Confirmation No: 2218

Attorney
Docket No. : 442P097

Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450
Sir:

INFORMATION DISCLOSURE STATEMENT

The Examiner is respectfully requested to consider the document(s), which is/are listed on the attached form PTO 1449.


The relevance of the reference Chemical Abstract XP002441182 is cited is shown in the attached European communication dated July 19, 2007, which indicates the degree of relevance found by the European Patent Office in a related foreign application.

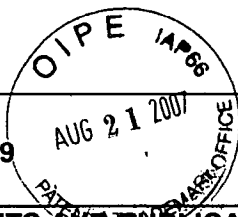
Copies of the non U.S. Patent listed on the attached form are enclosed herewith.

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Signature: **Kevin S. Lemack**
Date: **August 17, 2007**

Respectfully submitted,


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FORM PTO-1449	ATTY. DOCKET NO. 442P097	SERIAL NO. 10/538,126
	LIST OF PATENTS AND PUBLICATIONS FOR APPLICANT'S INFORMATION DISCLOSURE STATEMENT	
	FILING DATE June 6, 2005	GROUP 1624

REFERENCE DESIGNATION**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA						
	AB						
	AC						
	AD						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	BA							
	BB							
	BC							

OTHER ART (Including Author, Title, Date, Pertinent Pages, etc.)

/CJ/	CA		Database Caplus – Chemical Abstracts Service, Ramadas, Sukuru Raghu et al.; "Condensed nitrogen heterocycles derived from indan-1, 3-dione" – XP002441182 1984
I	CB		Journal of Medicinal Chemistry, 1976, vol. 19, No. 4; Kuppuswamy Nagarajan et al.; "Central Nervous System Active 5-Oxo-1,4,5,6,7,8-hexahydrocinnolines"; pp. 508-511
/CJ/	CC		Copy of European communication dated 7/19/2007
	CD		

EXAMINER	/Cecilia Jaisle/	DATE CONSIDERED	09/21/2007
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EXAMINER: Initial reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance *and* not considered. Include copy of this form with next communication to applicant.